



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : LINK, Charles J., Jr., et al.
SERIAL NO : 09/811,842
FILED : March 19, 2001
TITLE : METHODS AND COMPOSITIONS FOR ELUCIDATING PROTEIN
EXPRESSION PROFILES IN CELLS

Grp./A.U. : 1645
Conf. No. : 9222
Docket No. : P04465US1

TRANSMITTAL LETTER

To the Assistance Commissioner for Patents
ATTENTION: BOX MISSING PARTS
Washington, D.C. 20231

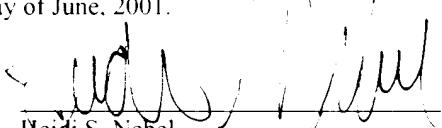
Dear Sir:

In response to the Notice to File Missing Parts of Application with Filing Date Granted,
enclosed please find the following documents:

- (1) The Declaration signed by the inventors
- (2) A copy of the Notice to File Missing Parts of Application
- (3) A check in the amount of \$65.00
- (4) Formal Drawings (3 sets of 23 pgs.)

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this document and the documents referred to as enclosed therein are being deposited
with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for
Patents, Washington, D.C. 20231, on this 26 day of June, 2001.


Heidi S. Nebel

REMARKS

On June 7, 2001 Applicant filed the above-identified application. A fee transmittal form was submitted which indicated authorization was given for the Commissioner to charge indicated fees and credit any overpayments to Deposit Account 16-1852 under the name of NewLink Genetics.

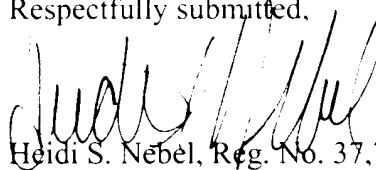
Also enclosed are formal drawings (3 sets of 23 pgs.).

The Notice to File Missing Parts received dated May 23, 2001 indicated that the oath or declaration were missing from the application.

As requested, a copy of the Notice to File Missing Parts of Nonprovisional Application is attached. A check in the amount of \$65 is enclosed. Please charge any additional fees that were inadvertently omitted be charged to Deposit Account No. 26-0084.

Reconsideration and allowance is respectfully requested.

Respectfully submitted,



Heidi S. Nebel, Reg. No. 37,719

ZARLEY, McKEE, THOMTE, VOORHEES
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801 Grand Avenue, Suite 3200

Des Moines, Iowa 50309-2721

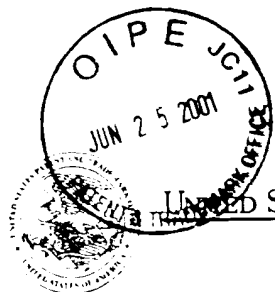
Phone No. (515) 288-3667

Fax No. (515) 288-1338

CUSTOMER NO: 22885

Attorneys of Record

- kel -



UNITED STATES PATENT AND TRADEMARK OFFICE

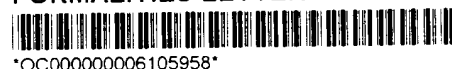
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20593
WWW.USPTO.GOV

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/811,842	03/19/2001	Charles J. Link JR.	P04465US1

CONFIRMATION NO. 9222

22885
ZARLEY MCKEE THOMTE VOORHEES & SEASE PLC
SUITE 3200
801 GRAND AVENUE
DES MOINES, IA 50309-2721

FORMALITIES LETTER



OC000000006105958

Date Mailed: 05/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).


- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

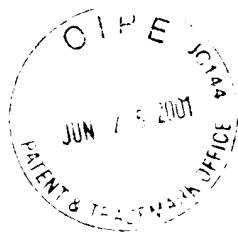
A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT
P04465US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Charles J. Link, Jr. et al. Group Art No: 1645
SERIAL NO: 09/811,842
FILED: March 19, 2001
TITLE: METHODS AND COMPOSITIONS FOR ELUCIDATING
PROTEIN EXPRESSION PROFILES IN CELLS

RECEIVED

REQUEST FOR CORRECTION OF FILING RECEIPT

AUG 15 2001

TECH CENTER 1600 2900

Assistant Commissioner of Patents
Washington, D.C. 20231

Attn: Application Processing Division
Customer Correction Branch

Dear Sir or Madam:

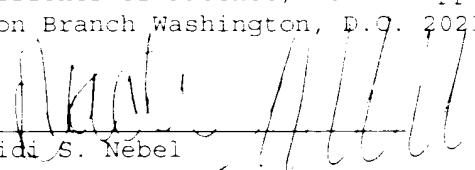
Attached is a copy of the official filing receipt received from the PTO in the above-identified application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data. The correct spelling of one of the inventors is **Sachet A. Shulka**.

The correction is due to a typographical error by the applicant in the inventor information of the patent application.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner of Patents, Attn: Application Processing Division, Customer Correction Branch Washington, D.C. 20231, on this 15 day of June, 2001.


Heidi S. Nebel



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AUG 17 2001

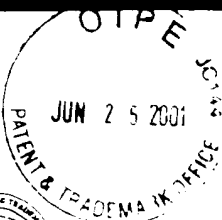
TEC-CENTER 1600 2900

If any additional fees are due or an overpayment is made,
please charge or credit Deposit Account No. 26-0084.

Respectfully submitted,

Heidi S. Nebel
Heidi S. Nebel, Reg. No. 37,719
ZARLEY, McKEE, THOMTE, VOORHEES
& SEASE
Attorneys of Record

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UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 4

AUG 17 2001

TECH-CENTER 1600 HALL

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/811,842	03/19/2001	1645	923	P04465US1	23	52	10

CONFIRMATION NO. 9222

22885
ZARLEY MCKEE THOMTE VOORHEES & SEASE PLC
SUITE 3200
801 GRAND AVENUE
DES MOINES, IA 50309-2721

FILING RECEIPT



OC000000006105957

Date Mailed: 05/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Charles J. Link JR., Ames, IA;
Tatiana Seregina, Ames, IA;
Nicholas N. Vahanian, Ames, IA;
James N. Higginbotham, Ames, IA;
Jay W. Ramsey, Ames, IA;
Bradley J. Powers, Ames, IA;
Sachet A. Smukla, Ames, IA;
Won Bin Young, Ames, IA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/190,678 03/20/2000
AND CLAIMS BENEFIT OF 60/198,722 04/20/2000

Foreign Applications

If Required, Foreign Filing License Granted 05/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Methods and compositions for elucidating relative protein expression levels in cells

Preliminary Class

435

Data entry by : TRUONG, T

Team : OIPE

Date: 05/23/2001





LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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AUG 1 7 2001

TEC-CENTER 1-800-293-0000

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231